



OSMM&N File No. 212766US6

Serial No. 09/929,052

In the matter of the Application of: Koichi MATSUDA, et al.

For: RECEIVING APPARATUS AND METHOD, SENDING APPARATUS AND METHOD, RECORDING MEDIUM, AND COMMUNICATION SYSTEM

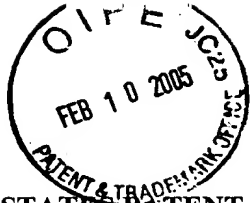
Due Date: 08/06/2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
 - Information Disclosure Statement
 - Cited References (3)
 - Search Report EUROPEAN
- PTO-1449



Docket No. 212766US6/phh



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Koichi MATSUDA, et al.

SERIAL NO: 09/929,052

GAU: 2152

FILED: August 15, 2001

EXAMINER:

FOR: RECEIVING APPARATUS AND METHOD, SENDING APPARATUS AND METHOD, RECORDING MEDIUM, AND COMMUNICATION SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

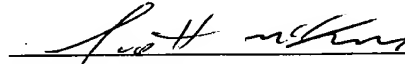
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

SERIAL NO.

212766US6

09/929,052

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Koichi MATSUDA, et al.

FILING DATE

August 15, 2001

GROUP

2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
	AO	WO 99/20014	04/22/99	WIPO	
	AP	WO 92/22033	12/10/92	WIPO	
	AQ				
	AR				
	AS				
	AT				
	AU				
	AV				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Y. SAKAMA, et al., NTT Review, Telecommunications Association, vol. 9, no. 3, XP-000694464, pages 40-48, "AGENT COMMUNICATIONS ON OCN", May 1, 1997
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.